

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION**

Applicant(s): Christian EVERS et al.

Serial No:

Group Art Unit:

Filed: August 1, 2003

Examiner:

Att. Docket No.: M1211/20012

Confirmation No.:

For: ELECTRONIC SWITCH

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the reference(s) is/are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR § 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR § 1.114. No certification or fee is required. 37 CFR § 1.97(b).

Attorney Docket No. M1211/20012  
IDS dated August 1, 2003

The references were cited in a counterpart foreign application. An English-language translation of the foreign search report is also attached for the Examiner's information.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

August 1, 2003

Please charge or credit our Account  
No. 03-0075 as necessary to effect  
entry and/or ensure consideration of  
this submission.

By 

David M. Tener  
Registration No. 37,054  
Customer No. 03000  
(215) 567-2010  
Attorneys for Applicant(s)

German Patent and Trademark Office

Munich April 25, 2003  
Telephone: (0 89) 2195 3204  
Applicant/Owner:  
Rhode & Schwarz, GmbH & Co.  
Rohde & Schwarz Ref.:  
P26816/DE/P2Kf/szi  
Rhode & Schwarz Petition Aug. 2, 2003  
Research as per §43 Patent Law

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File No. 102 35 447.2

Mitscherlich & Partner  
Patent and Attorneys at Law  
P.O. Box 330609 München  
GERMANY

**Research Report**

**A. Classification of the object of the application  
in accord with international patent classification IPC:**

IPC 07  
H 03 K 17/042  
H 03 K 17/687

**B. Scope of Research:**

Class/Group  
H 03 K 17/042

Examiner  
BERND SEIBERT

Patent Dept.  
31

H 03 K 17/042

The research in the German Patent and Trademark Office is based  
on the patent literature of the following nations and organizations:  
Germany (DE, DD), Austria, Switzerland, France, Great Britain, USA, Japan (Abstracts)  
previous USSR (Abstracts), European Patent Office, WIPO

Research in the following data banks: (Name & Host)

EPODOC  
WPI  
PAJ  
TXTE up  
EPOQUE

Class/Groups, which are presented in Section A, but, however, are not expressly mentioned in Section B,  
are either included by means of an IPC-overlapping data bank research or serve mainly for only  
documentation and information. In classes/groups, which are included in Section B, however are not name  
in Section A, these are researched with the results given in Section C.

**C. Result of the printed documentation transmitted:**

Cat.	Transmitted Documentation	Explanation	Re Claims	IPC Source References
X	US2002/00 67 205 A1	Fig. 4	1-3	TXTE
X	US 53 38 977	Abstr., Fig. 1	1-3	WPI

**D. The following literature and citations are not in the possession of the German Patent and Trademark Office:**

The research cannot extend itself beyond the self-stated state of the technology as expressed by the applicant if this said expression of the state of the technology is not in the hands of the German Patent and Trademark Office. If the intention is, to pursue a investigation request in accord with §44 of the patent law, then the applicant is required, to include such information in copy form in the examination documentation.

**E. Date of the Conclusion of the Research: April 16, 2003**

**Completeness of the investigation:**

A guarantee for the completeness of the investigation of the substantial literature and the correctness of the given categories is not furnished (§ 43 Section 7, Paragraph 1, Patent Law, i.e. Section 7, Paragraph 2 Utility Patent Law, i.V. (§ 43 Section 7, Paragraph 1, Patent Law).

Dispatch date of the Research Report:

Enc. 2

Patent Department 1.11  
Research Office  
(Seal)

## **Explanations of Section C.: Results of the Investigation of Documentation**

### **Column: Category**

- X: Documentation, which alone indicate questionability of the novelty or the presence of inventive activity (§ 43 Pat. Law) or an inventive step (§ 7 Util. Pat. Law).
- Y: Documentation which brings into question an inventive activity (§ 43 Pat. Law) or an inventive step (§ 7 Util. Pat. Law) when seen together with other documentation.
- A: General to the state of the technology or technological background.
- O: Non-written declaration. For instance, in a later published verbal address in copy form, which was given before the day of application or day of priority.
- P: Documentation published within the priority interval.
- T: Later published, non-conflicting documentation, which concerns the theory of the applied for invention and could be of use for a better understanding of the said applied for invention or shows that the basic concepts or facts of the applied for invention could be in error.
- E: Older applications in accord with § 3, Sec. 2 Pat. Law (in researches § 43 Pat. Law) or earlier Patent or Utility Patents in accord with § 15 Util. Pat. Law (in researches, § 7 Util. Pat. Law).
- D: Documentation, which is already mentioned in the patent application (§ 43 Pat. Law) or documentation which is already in the application or the Utility Patent (in researches § 7 Util. Pat. Law).
- L: Documentation, which is named on the basis of certain grounds, for instance an objection on the date of publication or in regard to doubts as to the priority.

### **Column: Explanations:**

The stated explanations in the research procedure and relevant places are given separately in this column from the cited documentation. The applied abbreviations and symbols used in the discussion of a document mean:

- Pub.: Date of publication of a document during priority period
- =: Documentation, which refer back to the same original application (Patent Family) or have to do with the references to the application or the abstract.

In the case of Classes/Group statements, without the naming of documentation, the symbol "-" means "Not investigated".

### **Column: Relevant Claims**

In this column are found, by number, the claims, which are given with reference to the annotations in the column "Explanations".

\* \* \*



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449A/PTO					Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheet as necessary)					Application Number		
					Filing Date		August 1, 2003
					First Named Inventor		Christian EVERS
					Group Art Unit		
					Examiner Name		
					Attorney Docket Number		M1211/20012
Sheet	1	of	1				
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code (if known)				
		5,338,977		CAROBOLANTE	08-16-1994		
		U.S. 2002/0067205	A1	APARIN et al.	06-06-2002		
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			
<b>OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T
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*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							